

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:	Fung et al.	Confirmation No.:	7207
Application No.:	10/783,619	Art Unit:	1655
Filed:	February 20, 2004	Examiner:	Mi, Qiuwen
For:	ACTIVE INGREDIENTS PREPARATION AND SINGLE COMPOUND PURIFICATION FROM TRADITIONAL CHINESE HERBAL MEDICINE <i>FRUCTUS</i> <i>TRICHOSANTHIS</i> AND USES THEREOF	Attorney Docket No.:	6100-083-999 (formerly 14761US02)

TRANSMITTAL OF REVOCATION AND POWER OF ATTORNEY

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


Sir:

Applicants submit herewith a copy of a Revocation and Power of Attorney with New Power of Attorney and Change of Correspondence Address for the above-identified application executed on April 21, 2007 by Alan Yu on behalf of Ultra Biotech Limited. Applicants also submit herewith copies of a Statement Under 37 CFR 3.73(b) and an Assignment from the Chinese University of Hong Kong to Ultra Biotech Limited.

No fee is believed due. However, should any fee be required, please charge the required fee to Jones Day Deposit Account No. 50-3013.

Respectfully submitted,

Date: May 31, 2007


T. Christopher Tsang 40,258
(Reg. No.)
JONES DAY
222 East 41st Street
New York, New York 10017
(212) 326-3939

Enclosures

REVOCATION OF POWER OF ATTORNEY WITH NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS	Application Number	10/783,619
	Filing Date	February 20, 2004
	First Named Inventor	Fung, Ming Chiu
	Art Unit	1655
	Examiner Name	MI, QIUWEN
	Attorney Docket Number	8100-083-999 (formerly 14761US02)

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

20563

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with
Customer Number:

20563

OR

☐ Firm or
Individual Name

Address

City

State

Zip

Country

Telephone

Email

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/06)

SIGNATURE of Applicant or Assignee of Record

Signature

Name

Date

Telephone

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to be (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Fung et al.

Application No./Patent No.: 10/783,619 Filed/Issue Date: February 20, 2004

Entitled:

ULTRA BIOTECH LIMITED

(Name of Assignee)

a limited liability company

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

In the patent application/patent identified above by virtue of either:

A ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: FUNG, MING CHIU & XING, HONG TAO To: CHINESE UNIVERSITY OF HONG KONG

The document was recorded in the United States Patent and Trademark Office at
Reel 015013, Frame 1000, or for which a copy thereof is attached.

2. From: CHINESE UNIVERSITY OF HONG KONG To: ULTRA BIOTECH LIMITED

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Alan Yu
Signature

ALAN YU

Printed or Typed Name

AUTHORIZED SIGNATORY

Title

2-4-2007
Date

2026 1201

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1458, Alexandria, VA 22313-1458. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1458, Alexandria, VA 22313-1458.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Agreement no. TU052684

ASSIGNMENT

TA61-TD-05-0023

WHEREAS, THE CHINESE UNIVERSITY OF HONG KONG of Shatin, New Territories, Hong Kong, ASSIGNOR, is the owner of the invention described and set forth in the below-identified patent application for United States Letters Patent and other corresponding non-US patent applications:

(1) an international application published under the Patent Cooperation Treaty for a Patent for Active Ingredients Preparation and Single Compound Purification from Traditional Chinese Herbal Medicine Fructus Trichosanthis and Uses Thereof under the World Intellectual Property Organization, International Bureau,

which is identified by International Publication No. WO2004/073725A1
which was filed on 23 February 2004 with Application No. PCT/CN2004/000139; and

(2) an application for a Patent in the United States published under the United States Patent and Trademark Office for Active Ingredients Preparation and Single Compound Purification from Traditional Chinese Herbal Medicine Fructus Trichosanthis and Uses Thereof,

which is identified by Publication No. US-2004-0180100-A1
which was filed on 20 February 2004 with Application No. 10/783,619.

and WHEREAS, Ultra Biotech Limited, ASSIGNEE care of 2 Dai Fu Street, Tai Po Industrial Estate, New Territories is desirous of obtaining the ASSIGNOR's entire right, title and interest in, to and under the said invention and the said applications:

NOW, THEREFORE, in consideration of the sum of Hong Kong Dollar Two Hundred Thousand (HK\$200,000) to the ASSIGNOR in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, the ASSIGNOR have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the ASSIGNEE, its successors, legal representatives and assigns of the ASSIGNOR'S entire right, title and interest in, to and under the said invention, and the said international application, the said United States application and all divisions, renewals and continuations claiming priority thereof, and all international Patents and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said international application and the said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewal and reissues thereof;

Agreement no. TU052684

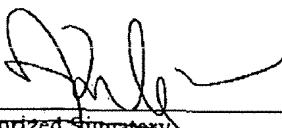
AND ASSIGNOR HEREBY authorize and request the World Intellectual Property Organization, International Bureau, Commissioner of United States Patent and Trademark Office, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR HEREBY covenant and agree that the ASSIGNOR have full right to convey the entire interest herein assigned, and that the ASSIGNOR have not executed, and will not execute, any agreement in conflict herewith.

ASSIGNOR further agrees that it will, without charge to ASSIGNEE, but at ASSIGNEE's expense, (a) cooperate with ASSIGNEE, its successors, legal representatives and assigns in the prosecution of U.S. Patent applications and foreign counterparts on the invention, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as ASSIGNEE, its successors, legal representatives and assigns lawfully may request to obtain or maintain Letters Patent and Registrations for the invention in any and all countries, and to vest title thereto in ASSIGNEE, or ASSIGNEE's successors, legal representatives and assigns.

IN TESTIMONY WHEREOF, the ASSIGNOR hereunto set the hands and seals the day and year set opposite the signature.

Date 14th October, 2005



Authorized Signatory L.S.
Name: Ngan, Alice Man Wai (Ms)
Title: Director, Research and Technology
Administration Office
For and on behalf of
The Chinese University of Hong Kong

Witness:

Bruce Lam

Name

Bruce Lam

Signature